

COMBINED

Form No.

**CLIENT REGISTRATION FORM
FOR DEMAT & TRADING ACCOUNT
(COMBINED FOR NSE, BSE & DP-NSDL)**

**KIFS
TRADE CAPITAL**

A **KHANDWALA** INTEGRATED VENTURE

KIFS TRADE CAPITAL PRIVATE LIMITED

CIN NO. : U65923DN2012PTC005494

Branch : _____ Demate Code : _____ Trading Code : _____

Name : _____

SEBI REGISTRATION NO.

Single SEBI Regn. No. : INZ000004234

Regn. Date for NSE, BSE : 15-03-2016

DP - NSDL : IN-DP-20-2015

Designated E-mail ID for Investor Grievances :
For Trading Account : complain@kifs.co.in
For Demat Account : dharmendra.doshi@kifs.co.in / kifsjaipur@yahoo.in
Web Site : www.kifstradecapital.com



KIFS TRADE CAPITAL PVT. LTD.

B-81/82, Parlseema Complex, C. G. Road, Ellisbridge, Ahmedabad - 380 006. Gujarat

This is with reference to SEBI's circular nos. CIR/MIRSD/64/2016 dated 12/07/2016 and CIR/MIRSD/16/2011 dated 22/08/2011 and related circular(s) of concerned Exchange(s)/NSDL on the captioned subjects. Accordingly, I am / We are undergoing your KYC documentation process whereby I / We will become your trading client and necessary trading code shall be allotted to me/us.

With that reference, I / We declare that I / We require the standard documents e.g. Rights & Obligations Documents, Uniform Risk Disclosure Documents, Guidance note - Do's and Don'ts for trading on Exchanges etc. as per my / our following preference for the same :

In Hard / Physical copy

In Electronic / Soft copy

(please select only one option. In case of hard / physical copy, I / We acknowledge that the same received upfront along with KYC form)

I / We declare that I / We have been also made aware by you that such documents including standard set of your KYC document, are published on your website www.kifstradecapital.com. I am / We are also aware that these documents in vernacular languages can also be downloaded from https://www.nseindia.com/membership/content/complinc_trading_mem.htm also. If need arise, I/We will directly download the same for my / our records or reference. In case of any difficulty therein, I/We will promptly notify you in writing and will seek your guidance for the same. I / we also declare and understand that in case of any ambiguity the contents of the English version would prevail.

Note : I am / We are aware that if option of electronic/soft copy is selected, you shall email me the same in appropriate time on my/our email id as written and being registered through my / our KYC form.

{First Holder}

{Second Holder}

{Third Holder}

(In case of non-individual, signature with rubber stamp)

**ACCOUNT OPENING KIT
INDEX**

Annexure - 1

Sr. No.	Name of the Document	Brief Significance of the Document	Page No.
	Standard Documents.	Acknowledgment of Standard documents e.g. Rights & Obligations documents, uniform Disclosure Documents, Guidance note-Do's and Don'ts for trading on Exchanges etc.	A

MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES

1.	Tariff sheet	Document detailing the rate/amount of brokerage and other charges levied on the client for trading on the stock exchange(s) (added by the stock broker).	2
2.	Account Opening Form	A. KYC as per Guidelines at Central KYC Registry form Document captures the basic information about the constituent and an instruction/check list. B. Document captures the additional information about the constituent relevant to trading account and an instruction / check list / Nomination Form - 10 C. Demat A/c. Charge Structure	3 to 4 5 to 10
3.	BSDL Optional	Documents for BSDA New A/c Optional	11

MANDATORY & VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER

4.	FATCA-Self Declaration By Client	FATCA - Self Declaration - Mandatory	13
5.	Voluntary Document-2 for Running Account Authorisation	Document showing voluntary clauses for running account maintenance, e-mail ID and Mobile no. for receiving SMSs etc. which can be revoked at any point of time	14
6.	For Office Use Only	The Process List For Account Opening	17

SUPPLEMENTARY BOOKLET FOR MANDATORY DOCUMENTS AS PRESCRIBE BY SEBI & EXCHANGES

1.	Rights & Obligations - DP	Rights & Obligations Of DP	1 to 2
2.	Rights and Obligations	Rights & Obligations of stock broker/trading member, sub-broker and client for trading on exchanges (including-additional rights & obligations in case of internet /wireless technology based trading)	3 to 7
		Document detailing Risks associated with dealing in the securities market.	8 to 10

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2.	Rights and Obligations	Rights & Obligations of stock broker/trading member, sub-broker and client for trading on exchanges (including-additional rights & obligations in case of internet /wireless technology based trading)	3 to 7
3.	Risk Disclosure Document (ROD)	Document detailing Risks associated with dealing in the securities market.	8 to 10
4.	Guidance note	Document detailing do's and don'ts for trading on exchange, for the education of the investors.	11 to 12
5.	Policies and Procedures	Document describing significant policies and procedures of the stock broker (added by the stock broker). Mandatory	13 to 16
6.	Policies and Procedures Voluntary document-1	Document describing significant policies and procedures of the stock broker (added by the stock broker). Voluntary Document - 1	17 to 22
7.	Voluntary Document-3 showing some basic information about Anti-money laundering measures	Document to provide basic information to clients about AML measures for General Guidance of Clients	23 to 24
8.	Form of Bank Verification	Format	25
9.	Form of H U F Declaration	Format	26

Name of stock Broker/Trading Member/Clearing Member: KIFS TRADE CAPITAL PVT. LIMITED

Details of SEBI Registration Numbers for NSE & BSE (Cash, F&O & CD): INZ000004234 DP - NSDL IN - DP -20 - 2015

Clearing Code NSE : 07760 / BSE : 3127

Detail of Clearing Member : IL & FS SECURITIES SERVICES LTD.

Address : IL & FS House, Raheja Vihar, Chandivali, Andheri (E), Mumbai - 400072.

	Exchange		Segment	
	NSE F&O	NSE CD	BSE F&O	BSE CD
SEBI Regn. No	INF 231133630	INF 231308334	INF 011133834	INF 011311532
Clearing Code	C50008	C50008	P807	P807

Regd. Office address : 265, Land Mark, Khanvel Road, Nr. District Court, Tokarkhada, Silvassa - 396230, U.T. of Dadra & Nagar Haveli
H.O. address : B-81, Pariseema Complex, C. G. Road, Ellisbridge, Ahmedabad - 380 006. Phone: 079 - 30000320 / 21.
26400140/740 Fax:079-26403717.

Compliance officer Name, Phone No. & E-mail ID : **For TRADING** : Ms. Apeksha Modi -9909930692 apexa@kifs.co.in

For DP : Mr. DHARMENDRA DOSHI- 9909930674 dharmendra.doshi@kifs.co.in (Ahmedabad),

Mr. ARVINDKUMAR JHA- 9352284806 Jaipur - kifsjaipur@yahoo.in

CEO Name, Ph.No. & E-mail ID : MR. RAJESH P. KHANDWALA 07930000320 / 21 26400140 / 740 rpk4008@yahoo.com

For any grievance/dispute please contact stock broker (KIFS TRADE CAPITAL PVT. LTD.) at the above address or email id complain@kifs.co.in and no. 91-07930000320 / 21. In case not satisfied with the response, please contact the concerned exchange(s)/ depository as per following details

Exchange / Depository	E-mail ID	Phone No.
NSE	ignse@nse.co.in	022 - 26598190
BSE	is@bseindia.com	022 - 22728097
NSDL	relations@nsdl.co.in	022 - 24994200

Tariff Sheet:

Branch :			1 st Leg		2 nd Leg (same day)	
			%Age	Min.	%Age	Min.
Client Code :	Trading					
	Delivery					
	F & O	NSE				
		BSE				
		NSE				
		BSE				
NSE Currency						

GSTIN : FOR GUJARAT - 24AADCE2051P1ZN, FOR RAJASTHAN - 08AADCE2051P1ZH, FOR MAHARASTRA - 27AADCE2051P1ZH,
FOR ANDHRA PRADESH - 37AADCE2051P1ZG, FOR DADRA & NAGAR HAVELI - 26AADCE2051P1ZI

Notes:

- Brokerage will be charged within the limits prescribed by SEBI/Exchanges and in %age on transaction value basis as shown above.
- Brokerage will be charged on per share basis, rounded off to nearest 2 to 4 decimal points as per back office software of the stock broker and then multiplied with the total number of shares, in order to calculate total brokerage per transaction payable by the client.
- Apart from Brokerage as agreed with you and as disclosed herein above, various other statutory levies at actual from time to time, such as GST presently 18% on brokerage value, Securities Transaction Tax* (as per applicable regulations). For e.g. on delivery based transactions STT @ 0.125% on transaction value buy & sell both, shall apply.
GST (Service Tax) and STT, both are Government levies and are subject to change from time to time.
- On option segment of Exchange, it is hereby disclosed that brokerage shall not exceed 2.5% of the premium amount or Rs 100/- (per lot) whichever is higher. Brokerage is also charged on expired, exercised and assigned option contracts.
- Other Taxes and Statutory Levies as prescribed by Government and regulatory authorities would be applicable. Such taxes and statutory levies are charged as applicable from time to time.
- Apart from above, delayed payment charges as per Policy & Procedures under mandatory documents forming part of KYC documents would also apply.
- KIFS Trade Capital Pvt.Ltd.reserves the right to revise the brokerage structure, by publishing / displaying the same on its website www.kifstradecapital.com
- We declare that we are doing proprietary Trading along with client business.
- For customer opting for email delivery (recommended for being environment friendly as well as quicker) of Contract Notes or Bill/Invoice, Account Ledgers Transaction Statement etc. there will be no document handling charge. However for customer opting for physical delivery fo Contract Notes or Bill/Invoice, Account Ledgers, Transaction Statement etc. there will be a document handling charge of Rs. 50 per Courier / postage. You can change your delivery mode of statement any time. For changing the mode of the delivery from physical copy to email, please submit duly signed request in prescribed form to KIFS HO.
- Change / Service standards are subject to revision at sole discretion of KIFS as informed by communication flashed on terminals or sent through footnote in Contract Note / ECN / Daily Margin Statement / Account Ledgers etc. by Ordinary post / SMS / E-mail.

2

Client's Signature 

Date :

(dd/mm/yyyy)

CENTRAL KYC REGISTRY (Know Your Customer (KYC) Application Form) Individual



Important instructions:

- A) Fields marked with "*" are mandatory fields.
- B) Please fill the form in English and in BLOCK letters.
- C) Please fill the date in DD-MM-YYYY format.
- D) Please read section wise detailed guidelines / instructions at the end.
- E) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
- F) List of two character ISO 3166 country codes is available at the end.
- G) KYC number of applicant is mandatory for update application.
- H) For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.

For office use only Application Type* New Update KRA Acknowledgment No. _____
 (To be filled by financial institution) KYC Number* _____ (Mandatory for KYC update request)
 Account Type* Normal Simplified (for low risk customers) Small

1. PERSONAL DETAILS (Please refer instruction A at the end) **A. IDENTITY DETAILS**

Part B	Part Name	Part Surname	Part Initials	Part Name
<input type="checkbox"/> Name* (Same as ID proof)	_____	_____	_____	_____
Maiden Name (If any*)	_____	_____	_____	_____
Father / Spouse Name*	_____	_____	_____	_____
Mother Name*	_____	_____	_____	_____
Date of Birth*	____/____/____			
Gender*	<input type="checkbox"/> M- Male	<input type="checkbox"/> F- Female	<input type="checkbox"/> T- Transgender	
Marital Status*	<input type="checkbox"/> Married	<input type="checkbox"/> Unmarried	<input type="checkbox"/> Others	
Citizenship*	<input type="checkbox"/> IN- Indian	<input type="checkbox"/> Others (ISO 3166 Country Code _____)		
Residential Status*	<input type="checkbox"/> Resident Individual	<input type="checkbox"/> Non Resident Indian		
	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Person of Indian Origin		
Occupation Type*	<input type="checkbox"/> S-Service (<input type="checkbox"/> Private Sector	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Government Sector	
	<input type="checkbox"/> O- Others (<input type="checkbox"/> Professional	<input type="checkbox"/> Self Employed	<input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student)	
	<input type="checkbox"/> B-Business			
	<input type="checkbox"/> X- Not Categorized			

Signature / Thumb Impression _____

2. TICK IF APPLICABLE: RESIDENCE FOR TAX PURPOSES IN JURISDICTION(S) OUTSIDE INDIA (Please refer instruction B at the end)

ADDITIONAL DETAILS REQUIRED* (Mandatory only if section 2 is ticked)
 ISO 3166 Country Code of Jurisdiction of Residence* _____
 Tax Identification Number or equivalent (if issued by jurisdiction)* _____
 Place / City of Birth* _____ ISO 3166 Country Code of Birth* _____

3. PROOF OF IDENTITY (PoI)* (Please refer instruction C at the end)

(Certified copy of any one of the following Proof of Identity (PoI) needs to be submitted)

<input type="checkbox"/> A- Passport Number	_____	Passport Expiry Date	____/____/____
<input type="checkbox"/> B- Voter ID Card	_____		
<input type="checkbox"/> C- PAN Card	_____		
<input type="checkbox"/> D- Driving Licence	_____	Driving Licence Expiry Date	____/____/____
<input type="checkbox"/> E- UID (Aadhaar)	_____		
<input type="checkbox"/> F- NREGA Job Card	_____		
<input type="checkbox"/> Z- Others (any document notified by the central government)	_____	Identification Number	_____
<input type="checkbox"/> S- Simplified Measures Account - Document Type code	____	Identification Number	_____

4. PROOF OF ADDRESS (PoA)* - ADDRESS DETAILS

1. CURRENT / PERMANENT / OVERSEAS ADDRESS DETAILS (Please see instruction D at the end)

(Certified copy of any one of the following Proof of Address (PoA) needs to be submitted)

Address Type* Residential / Business Residential Business Registered Office Unspecified

Proof of Address* Passport Driving Licence UID (Aadhaar)
 Voter Identity Card NREGA Job Card Others _____
 Simplified Measures Account - Document Type code _____

Address

Line 1* _____
 Line 2* _____
 Line 3* _____
 District* _____ Pin / Post Code* _____ State / U.T Code* _____ ISO 3166 Country Code* _____

4.2 CORRESPONDENCE / LOCAL ADDRESS DETAILS (Please see instruction E at the end)

Same as Current / Permanent / Overseas Address details (In case of multiple correspondence / local addresses, please fill 'Annexure A1')

Line 1
Line 2
Line 3
District* City / Town / Village*
Pin / Post Code* State / U.T Code* ISO 3166 Country Code*

4.3 ADDRESS IN THE JURISDICTION DETAILS WHERE APPLICANT IS RESIDENT OUTSIDE INDIA FOR TAX PURPOSES (Applicable if Section 261B(6C))

Same as Current / Permanent / Overseas Address details Same as Correspondence / Local Address details

Line 1*
Line 2
Line 3
State* City / Town / Village*
ZIP / Post Code* ISO 3166 Country Code*

5. CONTACT DETAILS (All communications will be sent on provided Mobile No. / Email ID. (Please refer instruction F at the end)

Tel. (Off) Tel. (Res) Mobile
FAX Email ID

6. DETAILS OF RELATED PERSON (In case of additional related persons, please fill Annexure B1. (Please refer instruction G at the end)

Addition of Related Person Deletion of Related Person Same as Correspondence / Local Address details
KYC Number of Related Person (if available):
Related Person Type* Guardian of Minor Assignee Authorized Representative
Name* Prefix First Name Middle Name Last Name
(If KYC number and name are provided, below details of section 6 are optional)

PROOF OF IDENTITY (POI) OF RELATED PERSON (Please see instruction H at the end)

A- Passport Number Passport Expiry Date
 B- Voter ID Card
 C- PAN Card
 D- Driving Licence Driving Licence Expiry Date
 E- UID (Aadhaar)
 F- NREGA Job Card
 Z- Others (any document notified by the central government) Identification Number
 S- Simplified Measures Account - Document Type code Identification Number

7. REMARKS (If any)

8. APPLICANT DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

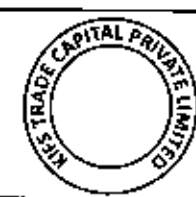
Date : Place :
Signature / Stamp Impression of Applicant

9. ATTESTATION AND IN PERSON VERIFICATION (IPV) DETAIL / FOR OFFICE USE ONLY

Documents Received Certified Copies (Self Attested) (Original Verified) true Copies of Documents

IPV and C-KYC VERIFICATION CARRIED OUT BY
Date Of IPV/Attestation
Emp. / IPV Person Name
Emp. / AP Code
Emp. / AP Designation
Emp. / AP Branch

INSTITUTION DETAILS
Name **KIFS TRADE CAPITAL PRIVATE LIMITED**
Code **IN0144 / NDML MIID - P1192**


KIFS
KIFS TRADE CAPITAL PRIVATE LIMITED
8-81/82, Pariseema Complex, C. G. Road,
ENisbridge, Ahmedabad-380006.
Ph.: +91 79 30000320 / 21 Fax : +91 79 26403717

(Sign of person who has done IPV / Attestation)



**FORM - 9 PART - II ACCOUNT OPENING FORM
ANNEXURE - 3 / FORM - 9 / FORM - 11
DEMAT & TRADING ACCOUNT RELATED DETAILS**



DP ID : IN 301485 / IN 302700

DEMAT CLIENT ID :

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

We request you to open a depository account in my/our name as per the following details : (Please fill all the details in CAPITAL LETTERS only)

Date :

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

A) Details of Account Holder(s) :

Account Holder(s)	Sole/First Holder		Second Holder		Third Holder	
Name						
PAN						
Occupation (please tick any one and give brief details)	<input type="checkbox"/> Private Sector	<input type="checkbox"/> Agriculturist	<input type="checkbox"/> Private Sector	<input type="checkbox"/> Agriculturist	<input type="checkbox"/> Private Sector	<input type="checkbox"/> Agriculturist
	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Retired	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Retired	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Retired
	<input type="checkbox"/> Government Service	<input type="checkbox"/> Housewife	<input type="checkbox"/> Government Service	<input type="checkbox"/> Housewife	<input type="checkbox"/> Government Service	<input type="checkbox"/> Housewife
	<input type="checkbox"/> Business	<input type="checkbox"/> Student	<input type="checkbox"/> Business	<input type="checkbox"/> Student	<input type="checkbox"/> Business	<input type="checkbox"/> Student
	<input type="checkbox"/> Professional	<input type="checkbox"/> Others (Please Specify)	<input type="checkbox"/> Professional	<input type="checkbox"/> Others (Please Specify)	<input type="checkbox"/> Professional	<input type="checkbox"/> Others (Please Specify)
Brief details:						

B) For HUF, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc, although the account is opened in the name of the natural persons, the name & PAN of the HUF, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., Should be mentioned below :

a) Name

b) PAN

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

C) Type of account

- | | | | |
|---|--|--------------------------------|--|
| <input type="checkbox"/> Ordinary Resident | <input type="checkbox"/> NRI-Repatriable | <input type="checkbox"/> Trust | <input type="checkbox"/> FI |
| <input type="checkbox"/> Qualified Foreign Investor | <input type="checkbox"/> NRI-Non Repatriable | <input type="checkbox"/> Bank | <input type="checkbox"/> CM |
| <input type="checkbox"/> Margin | <input type="checkbox"/> Promoter | <input type="checkbox"/> HUF | <input type="checkbox"/> Others (Please specify) |
| <input type="checkbox"/> Body Corporate | <input type="checkbox"/> Mutual Fund | <input type="checkbox"/> FI | |

D) Gross Annual Income Details Income Range per Annum (please tick any one)

- Below Rs. 1 Lac
 Rs. 1 - 5 Lac
 Rs. 5 - 10 Lac
 Rs. 10 - 25 Lac
 Rs. 25 - 50 Lacs
 Rs. 50 Lacs - 1 Crore
 More than Rs. 1 Crore.

Net Worth (Net worth should not be older then 1 year) Amount Rs...../ as on (date).....

E) In case of NRIs / Foreign Nationals

RBI Approval Reference Number

RBI Approval date

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

F) Bank Details

Bank Account Type Savings Account Current Account Others (Please specify)

Bank Name :

Bank A/c. No.:

Bank Address :

MICR Number :

IFSC/RTGS/NEFT Code :

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

G) Please tick, if applicable, for any of your authorised signatories/Promoters/Partners/Karta/Trustees/whole time directors : Politically Exposed Person (PEP) Related to a Politically Exposed Person (PEP)
Any Other Information

H) DEPOSITORY ACCOUNT(S) DETAILS (If Already Available)

Sr. No.	Depository Participant Name	Depository Name (NSDL/CDSL)	Beneficiary Name	DP ID	Beneficiary ID (BO ID)
1.	KIFS Trade Capital Pvt. Ltd.	NSDL		IN301485 IN302700	

I) TRADING PREFERENCES

* Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the Client.

Exchanges		Segments		Exchanges		Segments	
NSE	Cash	<input type="checkbox"/>		BSE	Cash	<input type="checkbox"/>	
	F&O	<input type="checkbox"/>			F&O	<input type="checkbox"/>	
	Currency Derivative	<input type="checkbox"/>		MSEI	Cash	<input type="checkbox"/>	

* If, in future, the client wants to trade on any new segment/new exchange, separate authorization/letter should be taken from the client by the stock broker.

J) PAST ACTIONS [If yes, Provide full details with documentary evidence]

* Details of any action/proceedings initiated/pending/ taken by SEBI/ Stock exchange/any other authority against the applicant/constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years:.....

K) DEALINGS THROUGH SUB-BROKERS AND OTHER STOCK BROKERS

* If client is dealing through the sub-broker, provide the following details:

Sub-broker's Name:.....

SEBI Registration number:.....

Registered office address:.....

Ph:..... Fax:..... Website:.....

* Whether dealing with any other stock broker/sub-broker (In case dealing with multiple stock brokers/sub-brokers, provide details of all)

Name of stock broker:.....

Name of Sub-Broker, if any:.....

Client Code:..... Exchange:.....

Details of disputes/dues pending from/to such stock broker/sub-broker:.....

L) INTRODUCER DETAILS (optional)

Name of the Introducer:.....

Status of the Introducer: Sub-broker/Remisier/Authorized Person/Existing Client/Others, please specify.....
(Surname) (Name) (Middle Name)

Address of the Introducer :

Phone No. of the Introducer : Mobile No.

Signature of the Introducer:

M) ADDITIONAL DETAILS

- * Whether you wish to receive physical contract note or Electronic Contract Note (ECN)
(please specify):.....
- * Specify your Email id, if applicable:.....
- * Whether you wish to avail of the facility of internet trading/wireless technology
(please specify):.....
- * Number of years of Investment/Trading Experience:.....
- * In case of non-individuals, name, designation, PAN, DID, signature, residential address and photographs of persons authorized to deal in securities on behalf of company/firm/others:.....
- * Any other information:.....

N) Standing Instructions

1. I/we authorise you to receive credits automatically into my / our account. Yes No
2. Account to be operated through Power of Attorney (PoA) Yes No
3. SMS Alert facility : (Mandatory if you are giving Power of Attorney (PoA). Ensure that the mobile number is provided in the KYC Application Form)

Sr. No.	Holder	Yes	No
1	Sole/First Holder	<input type="checkbox"/>	<input type="checkbox"/>
2	Second Holder	<input type="checkbox"/>	<input type="checkbox"/>
3	Third Holder	<input type="checkbox"/>	<input type="checkbox"/>

4. PLEDGE : I / We request you to enable my / our following depository account with Flag "Standing Instruction for Auto Pledge Confirmation". I/We have read and understood the Securities and Exchange Board of India's I- guidelines on pledging of shares in dematerialised form as regulated by SEBI (Depositories and Participants) Regulations, 1996 and Depositories Act, 1996.

5. For receiving Statement of Account in electronic form :

- I. Client must ensure the confidentiality or the password of the email account.
- II. Client must promptly inform the Participant if the email address has changed.
- III. Client may opt to terminate this facility by giving 10 days prior notice. Similarly, Participant may also terminate this facility by giving 10 days prior notice.

6. (Please tick (✓) wherever applicable)

Mobile Number

Trading Code

Email :

I/we hereby declare that the aforesaid mobile number or E-mail ID belongs to Me

I/we hereby declare that the aforesaid mobile number or E-mail ID belongs to My Family (spouse, dependent children and dependent parents)

O) GUARDIAN DETAILS (Where sole holder is a minor)

(For account of a minor, two KYC Application Forms must be filled i.e. one for the guardian and another for the minor (to be signed by guardian))

Guardian Name:

Relationship of Guardian with minor :

PAN

UCC Code allotted to the Client:

	Documents verified with Originals	Client Interviewed By	In-Person Verification done by
Name of the Employee			
Employee Code			
Designation of the employee			
Date			
Signature			

I/ We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), ROD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and ROD would be made available on my/our website, if any, for the information of the clients.

For KIFS Trade Capital Pvt. Limited

Signature of the Authorised Signatory Seal/Stamp of the stock broker

Date :

FOR DEMAT AND TRADING
FORM 10 - FORM FOR NOMINATION/CANCELLATION OF NOMINATION - DP ID IN301485 / IN302700
(To be filled in by individual applying singly or jointly)



DPM Instruction:
 (For Office Use)

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KIFS TRADE CAPITAL PVT. LTD.

B-81/82, Pariseema Complex, C. G. Road, Ellisbridge,
 Ahmedabad - 380 006. Gujarat

Date											
Client ID											
Client Code											

A Nomination option

I/We wish to make a nomination.

I/We wish to cancel the nomination made by me/ us and consequently all rights and liabilities in respect of beneficiary ownership in the securities held by me / us in the said account shall vest in me/ us. **[Strike off the Nomination details below]**

B Nomination Details

I/We do not wish to nominate

I/We wish to make a nomination and do hereby nominate the following person in whom all rights and / or amount payable in respect of securities held in the Depository by me / us in the said beneficiary owner account shall vest in the event of my / our death.

Nomination can be made upto three nominees in the account		Details of 1 st Nominee	Details of 2 nd Nominee	Details of 3 rd Nominee
1	Name Of the Nominee(s) {Mr./Ms.}			
2	Share of each Nominee Equally <input type="checkbox"/> <small>[if not equally, please Specify Percentage]</small>	%	%	%
Any old lot after division shall be transferred to the first nominee mentioned in the form				
3	Relationship with the Applicant (If Any)			
4	Address of Nominee(s) <div style="text-align: right; font-size: small;">PIN Code</div>			
5	Mobile/Telephone No. of nominee(s)			
6	Email ID of nominee(s)			
7	Nominee Identification details [Please tick any one of following and provide details of same] <input type="checkbox"/> PAN <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> Aadhaar <input type="checkbox"/> Saving Bank Account no <input type="checkbox"/> Demat Account ID <input type="checkbox"/> Proof Of Identity <input type="checkbox"/> Others	Please affix your recent passport size photograph	Please affix your recent passport size photograph	Please affix your recent passport size photograph

Signature Of Nominee



Sr. Nos. 8 - 14 should be filled only if nominee(s) is Minor :

8	Date Of Birth (in case of minor nominee(s))			
9	Name of Guardian (Mr./Ms.) (in case of minor nominee(s))			
10	Address of Guardian(s)			
11	Mobile/Telephone No. of Guardian			
12	Email ID of Guardian			
13	Relationship with the Nominee			
14	Guardian Identification details (Please tick any one of following and provide details of same)			
	<input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN			
	<input type="checkbox"/> Aadhaar <input type="checkbox"/> Saving Bank Account no			
	<input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID			

C Name and Signature of Account holder(s)

Account Holder(s)	Name	Signature of Account Holder(s) with date
Sole/First Holder		
Second Holder		
Third Holder		

D Signature of Witness for nomination (applicable only when nomination is made)

Name of witness	Address	Signature of witness with date

Instructions:

- The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non- individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly all joint holders will sign the nomination form.
- A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
- The Nominee shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney holder. A non- resident Indian can be a Nominee, subject to the exchange controls in force, from time to time.
- Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities.
- Transfer of securities in favour of a Nominee shall be valid discharge by the depository and the Participant against the legal heir.
- The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non- individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.
- On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee.
- Nomination can be made up to three nominees in a demat account. In case of multiple nominees, the Client must specify the percentage of share for each nominee that shall total up to hundred percent. In the event of the beneficiary owner not indicating any percentage of allocation/share for each of the nominees, the default option shall be to settle the claims equally amongst all the nominees.
- On request of Substitution of existing nominees by the beneficial owner, the earlier nomination shall stand rescinded. Hence details of nominees as mentioned in the FORM 10 at time of substitution will be considered. Therefore please mention the complete details of all the nominees.
- Copy of any proof of identity must be accompanied by original for verification or duly attested by any authorized for attesting the documents, as provided in Annexure D.
- Saving Bank account details shall only be considered if the account is maintained with the same participant.

Declaration for DEMAT Account

The Rules and regulations of the Depository and Depository Participants pertaining to an account which are in force now have been read by me/ us and I /we have understood the same and I /we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I /we hereby declare that the details furnished above are true and correct to the best of my / our knowledge and belief and I / we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/ we are aware that I / we may be held liable for it. In case non-resident account, I / we also declare that I / we have complied and will continue to comply with FEMA regulations. I/we acknowledge the receipt of copy of Document " Right and obligations of the BO and DP

Declaration for TRADING Account

- I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
- I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
- I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any. i.e. www.kifstradecapital.com

Photograph of First Holder
Please affix your recent passport size photograph and Sign across it

Photograph of Second Holder
Please affix your recent passport size photograph and Sign across it

Photograph of Third Holder
Please affix your recent passport size photograph and Sign across it

Speciman Signature : Your Speciman Signature will be Captured From Here And It will be maintained in Scan From in Our Record So you are requested to sign carefully in the relevant box and with ink. (Box Copy Given)

<input type="checkbox"/> For Individual		<input type="checkbox"/> For Non-Individual	
Name(s) of Holder(s)	Name	Signature(s)	
Sole/First Holder/ Guardian (in case sole holder is minor) (Mr./Ms.) First Signatory			
Second Holder (Mr./Ms.) / Second Signatory			
Third Holder (Mr./Ms.) / Third Signatory			
POA Holder (in case POA)			
Mode of Operation for Sole / First Holder (In case of joint holdings, all the holders must sign)			
<input type="checkbox"/> Any one Singly		<input type="checkbox"/> As per resolution	
<input type="checkbox"/> Jointly by		<input type="checkbox"/> Other (Please specify)	

Notes :

- All Communication shall be sent at the address of the sole / First Holder Only.
- In case of addition/modification of Signature, separate annexure should be attached.
- Thumb impressions and signatures other than English or Hindi or any of other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive magistrate.
- For receiving Statement of Account in electronic form :
 - Client must ensure the confidentiality of the password of the email account.
 - Client must promptly inform the Participant if the email address has changed.
 - Client may opt to terminate this facility by giving 10 days prior notice. Similarly Participant may also terminate this facility by giving 10 days prior notice.
- Strike off whichever is not applicable.

INSTRUCTIONS/CHECK LIST

1. Additional documents in case of trading in derivatives segments - illustrative list:

Copy of ITR Acknowledgement	Copy of Annual Accounts
In case of salary income - Salary Slip, Copy of Form 16	Net worth certificate
Copy of demat account holding statement.	Bank account statement for last 6 months
Any other relevant documents substantiating ownership of assets.	Self declaration with relevant supporting documents.

*In respect of other clients, documents as per risk management policy of the stock broker need to be provided by the client from time to time.

2. Copy of cancelled cheque leaf/ pass book/bank statement specifying name of the constituent, MICR Code or/and IFSC Code of the bank should be submitted.
3. Demat master or recent holding statement issued by DP bearing name of the client.
4. **For individuals:**
 - a. Stock broker has an option of doing 'in-person' verification through web camera at the branch office of the stock broker/sub-broker's office.
 - b. In case of non-resident clients, employees at the stock broker's local office, overseas can do in person' verification. Further, considering the infeasibility of carrying out 'In-person' verification of the non-resident clients by the stock broker's staff, attestation of KYC documents by Notary Public, Court, Magistrate, Judge, Local Banker, Indian Embassy / Consulate General in the country where the client resides may be permitted.
5. **For non-individuals:**
 - a. Form need to be initialized by all the authorized signatories.
 - b. Copy of Board Resolution or declaration (on the letterhead) naming the persons authorized to deal in securities on behalf of company/firm/others and their specimen signatures.

OPTIONAL

Option for opening Basic Services Demat Account (BSDA)

Date:

0	0	0	0	0	0	0	0
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With reference to my / our application for opening a depository account, I / We request you to open my / our depository account as a BSDA as per the following details :

DP ID	I	N	Client ID						
Name			PAN						
Sole / First Holder									
Second Holder									
Third Holder									

I/We have read and understood the Securities and Exchange Board of India's guidelines for facility for a BSDA. I/We hereby declare that I/we am/are eligible to open a depository account as a BSDA holder and undertake to company with the requirements specified by Securities and Exchange Board of India (SEBI) or any such authority for such facility from time to time. I/We also understand that in case I/we at any point of time do not meet the eligibility as a BSDA holder, my/our aforesaid account is liable to be converted to regular account.



Sole / First Holder



Second Holder



Third Holder

B-81 & 82, Pariseema Complex, C. G. Road, Ellisbridge, Ahmedabad-380 006. Phone : 079 - 26420592 / 94, 30000320 / 21 Fax : 079 - 26403717.
 Website : www.kifstradecapital.com Complain E-mail for D'mat : dharmendra.doshi@kifs.co.in

CLIENT ID :
Date :

Advance/Deposit	Account Maintenance	Demat	Remat	Transaction (Debit)	Pledge Creation	Pledge Creation Confirmation	Pledge Closure	Pledge Closure confirm	Pledge Invocation	Pledge Invocation
No Deposits KIFS Special - Only Client have Trading Account with KIFS TRADE CAPITAL PRIVATE LIMITED	Rs. 1000/- (for 5 Years)	Rs. 3 per certificate + Rs. 50 Postage	NSDL Charges + Rs. 100	(1) KIFS to KIFS Market Rs. 15 (By Auto Pay-In) for Physical DJS Pay-in Rs.30 (2) KIFS to Other-Market Rs. 30 (3) Off Market Charges Rs. 30	Rs. 50	Rs. 25	Rs. 25	Rs. 25	Rs. 25	Rs. 25/- per entry
No Deposits Group Scheme Only Client have Trading Account with KIFS TRADE CAPITAL PRIVATE LIMITED	Rs. 300/- per annum	Rs. 3 per certificate + Rs. 50 Postage	NSDL Charges + Rs. 100	(1) KIFS to KIFS Market Rs. 15 (By Auto Pay-In) for Physical DJS Pay-in Rs.30 (2) KIFS to Other-Market Rs. 30 (3) Off Market Charges Rs. 30	Rs. 50	Rs. 25	Rs. 25	Rs. 25	Rs. 25	Rs. 25/- per entry
No Deposits Outside Scheme	Rs. 500/- per annum	Rs. 3 per certificate + Rs. 50 Postage	NSDL Charges + Rs. 100	(1) KIFS to KIFS Market Rs. 30 (2) KIFS to Other-Market Rs. 30 (3) Off Market Charges Rs. 50	Rs. 50	Rs. 25	Rs. 25	Rs. 25	Rs. 25	Rs. 25/- per entry
No Deposits Corporate Scheme	Rs. 1500/- per annum	Rs. 10 per certificate + Rs. 50 Postage	NSDL Charges + Rs. 100	(1) KIFS to KIFS Market Rs. 15 (By Auto Pay-In) for Physical DJS Pay-in Rs.30 (2) KIFS to Other-Market Rs. 30 (3) Off Market Charges Rs. 30	Rs. 50	Rs. 25	Rs. 25	Rs. 25	Rs. 25	Rs. 25/- per entry
No Deposits NRI Scheme	Rs. 1000/- per annum	Rs. 10 per certificate + Rs. 50 Postage	NSDL Charges + Rs. 100	(1) KIFS to KIFS Market Rs. 15 (By Auto Pay-In) for Physical DJS Pay-in Rs.30 (2) KIFS to Other-Market Rs. 30 (3) Off Market Charges Rs. 30	Rs. 50	Rs. 25	Rs. 25	Rs. 25	Rs. 25	Rs. 25/- per entry
No Deposits BSDA-1 (Holding Value Upto- Rs. 50000/-)	NIL	Rs. 5 per certificate + Rs.50 Postage Rejection - Rs. 50/-	NSDL Charges + Rs.100/- per Certificate Rejection - Rs. 50/-	(1) KIFS to KIFS Market Rs. 25 (By Auto Pay-In) for Physical DJS Pay-In Rs.50 (2) KIFS to Other-Market Rs. 50 (3) Off Market Charges Rs. 50	Rs. 50/- or 0.04% on value which ever is higher	Rs. 25/- or 0.04% on value which ever is higher	Rs. 25/- or 0.04% on value which ever is higher	Rs. 25/- or 0.04% on value which ever is higher	Rs. 25/- or 0.04% on value which ever is higher	Rs. 25/- or 0.04% on value which ever is higher
No Deposits BSDA-2 (Holding Value between Rs. 50001/- to 200000/-)	Rs. 100/- p.a	Rs. 5 per certificate + Rs.50 Postage Rejection - Rs. 50/-	NSDL Charges + Rs.100/- per Certificate Rejection - Rs. 50/-	(1) KIFS to KIFS Market Rs. 25 (By Auto Pay-In) for Physical DJS Pay-In Rs.50 (2) KIFS to Other-Market Rs. 50 (3) Off Market Charges Rs. 50	Rs. 50/- or 0.04% on value which ever is higher	Rs. 25/- or 0.04% on value which ever is higher	Rs. 25/- or 0.04% on value which ever is higher	Rs. 25/- or 0.04% on value which ever is higher	Rs. 25/- or 0.04% on value which ever is higher	Rs. 25/- or 0.04% on value which ever is higher
No Deposits CM Scheme	Rs. 15000/- Per annum	N A	N A	Rs.4 (Debit Delivery to Settlement)	Rs. 50	Rs. 25	Rs. 25	Rs. 25	Rs. 25	N A

 We do not wish to opt BSDA Scheme **We wish to opt BSDA Scheme**

NOTE : [1] BSDA - 1 and BSDA -2 Scheme Clients will get Holding Statements Annually and Transaction Statement Quarterly (If Transaction made or else will not applicable. [2] In case of Late / Cancellation / Withdrawal / Rejection / Failure of Instruction Rs. 25/- per Entry will charged additional [3] Extra statement charge Rs. 20/- or rs. 2/- per page whichever is higher.[4] Change in Client master Rs. 50/- per request (In case more than 2 times in a year) [5] DJS book 10 pages Rs.20/- 20 pages and above Rs. 50/- (First book free and Second time issuance chargeable) [6] DRF/RRF/RRP rejection due to client's reason Rs. 50/- per entry. [7] Out of pocket expense will be charged at extra. [8] Late Pay-in / Urgent / Failed Instruction Charge Rs. 25/- Extra. [9] Speed-e Charges Rs. 200/- p.a. + Rs.12 per transfer [10] Service tax @ 15% (14% ST+ 0.5% SBC + 0.5% KKC) on every service charge as per Govt. Rules. (or as per Government Rules.) [11] All charges will be taken in advance for the year. Slip charge will be taken upfront. [12] Charges are Subject to revision at the Company's Sole Discretion. [13] All Market trades must be received at least 12 hours before the pay in time. Late instruction would be at the account of holder's sole risk. [14] You can check our Charge Structure Or download forms for change in client details are on Our website. i.e. on www.kifstradecapital.com

Declaration : 1 hereby select Scheme to open / charge in my Demat Account.
I Further declare and abide myself from the above charges schedule and respective terms and Conditions relating to the account.

(First Holder)

(Second Holder)

(Third Holder)

KIFS TRADE CAPITAL PVT. LTD.

B-81, Pariseema Complex, C. G. Road, Ellisbridge, Ahmedabad-380 006.
Phone : 079 - 26400140 / 740, 30000320 / 21 Fax : 079 - 26403717.

FATCA-CRS Declaration & Supplementary KYC Information Self Declaration Form for Individuals [For DP & Trading]

Please seek appropriate from your professional tax professional on your tax residency and related FATCA & CRS guidance

Name & PAN											
Address Type [for KYC address]	<input type="checkbox"/> Residential	<input type="checkbox"/> Residential / Business	<input type="checkbox"/> Business	<input type="checkbox"/> Registered Office							
Place of Birth										Country of Birth	
Gross Annual Income Details in INR	<input type="checkbox"/> Below 1 Lac	<input type="checkbox"/> 1 - 5 Lacs	<input type="checkbox"/> 5 - 10 Lacs	<input type="checkbox"/> 10 - 25 Lacs	<input type="checkbox"/> 25 Lacs - 1 Cror	<input type="checkbox"/> > 1 Cror					
Occupation Details [Please tick any one(s)]	<input type="checkbox"/> Business	<input type="checkbox"/> Professional	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Private Sector	<input type="checkbox"/> Government	<input type="checkbox"/> Forex Dealer	<input type="checkbox"/> Agriculturist	<input type="checkbox"/> Housewife	<input type="checkbox"/> Student	<input type="checkbox"/> Retired	<input type="checkbox"/> Others (Please Specify) _____
Net Worth in Date (Optional)	D D M M Y Y Y Y										
Politically Exposed Person [PEP]	<input type="checkbox"/> Yes	<input type="checkbox"/> Related to PEP	Any Other Information [if applicable]		(Please Specify)						
	<input type="checkbox"/> Not Applicable										

* If PAN is not available, Please specify Folio No(s) Is your Country of Tax Residency other than India - Yes No
If 'Yes' please specify the details of all countries where you hold tax residency and its Tax Identification Number & type

S.No.	Country of Tax Residency#	Tax Payer Identification Number / Functional Equivalent	Identification Type [TIN or other, please specify]

to include all countries other than india, where investor is Citizen / Resident / Green Card Holder / Tax Resident in those respective countries especially of USA

Declaration :

I acknowledge and confirm that the information provided above is true and correct to the best of my knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be liable for it. I hereby authorize you to disclose, share, rely, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to / any of the Exchanges / Depositories / Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees / RTAs ("the Authorized Parties") or any Indian or foreign governmental or statutory or judicial authorities / agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is Legally required and other investigation agencies without any obligation of advising me of the same. Further, I authorize to share the given information to other SEBI Registered Intermediaries or any regulated Intermediaries registered with SEBI / RBI / IRDA / PFRDA to facilitate single submission / update & for other relevant purposes. I also undertake to keep you informed in writing about any changes / modification to the above information in future and also undertake to provide any other additional information as may be required at your end or by domestic or overseas regulators / tax authorities. I/We authorize you to provide relevant information to upstream payors to enable withholding to occur and pay out any sums from my account or close or suspend my account(s) without any obligation of advising me of the same. I understand that you do not offer any tax advice on CRS/FATCA or its impact on me/us. I/We shall seek advise from Professional Tax Advisor for any tax questions.

Self Certification :

Are you US Person : Yes No

To be filled only if -

- (a) your Country of Birth / Country of tax Residency is other than India
- (b) US Person is mentioned herein as Yes and TIN is not available :

I Confirm that I am neither a US Person nor a resident for Tax purpose in any Country other than India, through one or more parameters suggest my relation with the country outside India. Therefore, I am providing the following documents as proof of my citizenship and residency in India.

Document Proof Submittes (Pls. tick document being submitted - Self Certified Copy)

- Passport Election Card PAN Card Driving License UIDAI/Aadhar Card Letter Govt. Issued ID Card
- Other (please Specify) _____


Document Identification No. _____

I / We agree that as may be required by domestic regulatory, you may also be required to report, reportable details to CBDT or such other Income Tax Authorities as may be applicable in India or close or suspend my/our account. I/We agree to submit a new form within 30 days if any information / certification on this form becomes incorrect.

Date :

Place :

Client's Signature :



VOLUNTARY DOCUMENT - 2

[FOR RUNNING ACCOUNT AUTHORISATION]

TO KIFS Trade Capital Pvt. Ltd. (Member of NSE, BSE)

I UNDERSTAND THAT THIS IS PURELY A VOLUNTARY DOCUMENT AND SHALL FORM PART OF THE NON-MANDATORY DOCUMENTS OF MY KYC FORM. IN CASE IF THE SAME SHALL DILUTE YOUR RESPONSIBILITY AS A STOCK BROKER OR IN CONFLICT WITH ANY OF THE CLAUSES IN THE MANDATORY DOCUMENTS, RULES, BYE-LAWS, REGULATIONS, NOTICES, GUIDELINES AND CIRCULARS ISSUED BY SEBI AND THE STOCK EXCHANGES FROM TIME TO TIME, THE SAME SHALL STAND NULL AND VOID.

- (1) **About Voluntary Document :** I/We do hereby declare and confirm that I/We have thoroughly read and understood the voluntary document (Pages) attached which forms part of Non-mandatory Documents of this KYC form and do accord my/our consent for the same.
- (2) **About Running Account Authorisation:** I/We do hereby specifically declare and confirm that my/our account is to be maintained in Running Account mode and as such request for the same as under:
I/We also specifically understand and agree that:
- (a) This is only avoluntary authorization that may berevoked by me/usatany time.
 - (b) This authorization shall remain in force until and unless the same is revoked by me / us.
 - (c) This authorization is signed by me/us only and not by my/our authorized person/POA holder.
 - (d) For my/our outstanding obligation / balance on the settlement date, you may retain the requisite securities/ funds towards such obligations and may also retain the funds expected to be required to meet margin or other obligations in compliance with applicable circulars, guideline, rules, regulations, byelaws etc. of the Exchange and/or SEBI and as may be calculated in the manner specified by the exchanges.
 - (e) I / we request you to settle my fund and securities account once in a calendar quarter / once in a calendar month. (Please strike off which is not applicable)
 - (f) Any dispute from my/our end, arising from statement of account or settlement, shall be brought to your notice in writing by me/us within 7 days of receipt of funds/securities statement as the case may.be.
 - (g) Periodic settlement of running account may not be necessary for margin trading facility or funds received towards collaterals/ margin in form of Bank Guarantee, Fixed Deposit etc.
 - (h) In case of my/our request / demand, you shall transfer the funds/securities within 1 working day if lying with you or within 3 working days if lying with Exchange/Clearing House.
 - (i) There shall not be any inter-client adjustments for the purpose of settlement of my/our running account.
- (3) **About Electronic Contract Notes etc.:** I/We do hereby request to receive ECN, statement of accounts, daily margin statement and such other record/information/notice etc. to my/our E-mail Account/ID as mentioned in KYC form. In case of any change, I/We shall promptly communicate in writing to you about the same.
- (4) **About SMS on mobile :** I/We do hereby declare and confirm to receive SMS about my/our position, margin, ladger balance and such other brief information as may be voluntarily sent/provided by you or Sent by Exchange on my/our mobile no. as mentioned in KYC form. In case of any change therein, I/We shall promptly communicate in writing to you about the same.
- (5) **Regarding clause 3&4 above:** I/We do hereby declare and confirm that the details of e-mail id and mobile no. as may be provided by me/us in KYC form pertains to me / us or to my /our family members only, **(family members means my spouse, dependent children, dependent parents only)** Accordingly, I/We do hereby request you to upload the same as a part of UCC / UCI details to respective Exchange(s). So that Exchange(s) may send direct E-mail/SMS to me/us, if any.
- (6) I/We do hereby declare that prior to transacting into the securities of Listed Stock Exchanges, I/We will ensure to meet Eligibility for acquiring or holding shares and Fit and proper criteria as per SEBI Circular no. CIR/MRD/DSA/09/2016 dated 1st January 2016 or such other applicable SEBI Circuler under SECC Regulations,2012 as may be amended from time to time. I/We refer download section of your website www.kifstradecapital.com in this respect.
- (7) I/We also confirm to receive trading calls, SMS, and such other facility on my/our mobile Regd. mobile no. and shall have no objection in this respect.

NOTE : You can strike-off all /any of the above clause(s) if not agreed by you, before signing this document.

Client's Signature  _____

Date : _____
(dd/mm/yyyy)

REQUEST FORM FOR AVAILING MOBILE TRADING FACILITY

To,
KIFS TRADE CAPITAL PVT.LTD.
B/81, Pariseema Complex, C.G.Road,
Ellisbridge, Ahmedabad-380006

Dear Sir,

Ref.: Client Code

I, Mr./Mrs./Ms. _____ am registered/getting registered with you for trading in securities market.
I wish to avail Mobile Trading Facility being provided by you. I do hereby undertake the following :

1. I am desirous of investing/trading in securities and for this purpose, I intend to use your Trading Facility on and subject to SEBI / Exchanges provisions and the terms and conditions specified by you in this respect.
2. I am aware that such Mobile Trading Facility falls under your IBT service and I shall avail this service.
3. I declare that you have brought to my notice the Features, Risks, Responsibilities, Obligations and Liabilities associated with securities trading through wireless technology (Mobile Trading)/ Internet / Smart Order Routing or any other technology.
4. I confirm that I shall be responsible for keeping User Name and Password Confidential and secure and shall be solely responsible for all orders entered and transactions done by any person whatsoever Whether or not such person was authorized to do so.
5. I shall immediately notify you in writing if I forgot my password, discovers security flaw in your IBT System, discovers/ suspects discrepancies/unauthorized access through my username / password / account with full details of such unauthorized use, the manner and the transactions effected pursuant to such unauthorized use, etc. .
6. I am fully aware and understand the risk associated with availing of service for routing orders the internet/securities trading through wireless technology (Mobile Trading)and I shall be fully liable and responsible for any and all acts done in my username / Password in any manner whatsoever.
7. I am also aware that trading over the internet/mobile involves many uncertain factors and complex hardware software, systems, communication Lines, peripherals etc. are susceptible to interruptions and dislocations. You being stock broker and the Exchange do not make any representation or warranty that your IBT/ Mobile Trading Service will be available to the Client at all times without any interruption.
9. I shall not have any claim against the Exchange or you on account of any suspension, interruption, non-availability or malfunctioning of your IBT / Mobile Trading Service or the Exchange's Service or systems or non-execution of my orders due to any Link/ System failure at my/your/Exchange's end for any reason beyond the control of your/Exchanges.
10. Lastly, I do hereby declare and confirm that I have thoroughly read and understood the Rights and Obligations documents as well as Additional Rights and Obligations in case Internet & Wireless Technology documents forming part of your KYC document and I shall adhere to all such requirements.

Client's Name : _____

Client's Signature  _____