



REQUEST FORM FOR REACTIVATION / MODIFICATION / UNFREEZING OF CLIENT CODE OR SEGMENT ADDITION INTO CLIENT CODE

DPM Instruction: (For Office Use)

To, The KYC Department - KIFS TRADE CAPITAL PRIVATE LIMITED (Member : NSE/BSE/MCX/NCDEX/NSDL) Ahmedabad SEBI Registration No. for NSE, BSE, MCX, NCDEX (Cash, F&O & CD) : INZ000004234 DP - NSDL: IN-DP-20-2015

REF : MY / OUR CLIENT CODE DEMAT A/C NO.

Dear Sir,

I am/We are your client having trading account/Demat account with you. I/we am/are not trading in securities market through you since (last trade date). However, I/We desire to restore trading again through you. I/We request you to reactive/unfreeze my/our account and allow trading with immediate effect upon receipt of this form at your end as per your RMS policy.

I /We wish to trade on the Exchange / Segments signed against respectively.

* Please sign in the relevant boxes where you wish to trade. Please strike off The segment not chosen by you.

Table with 4 columns: Exchanges, NSE & BSE, NSE & BSE, NSE. Sub-headers: All Segments, CASH / MUTUAL FUND, F & O, CURRENCY. Includes checkboxes and a pen icon for marking.

FATCA-CRS Declaration & Supplementary KYC Information

- * Is Country for tax Residency other than India Yes No
* Self Declaration - Are you US Person Yes No
* Place of Birth
* Specify Country residence for tax purpose
* Specify Tax Identification Number / Other (SSN/CIN/Others)

I/We agree that as may be required by domestic regulators, you may also be required to report, reportable details to CBDT or such other Income Tax Authorities as maybe applicable in India or close/suspend my/our Account. I/We agree to submit a new from within 30 days if any information/ certification on this from becomes incorrect.

Also Please update my/our following latest details in your Records : (For Demat / Trading Account)

Form fields for Email ID, Mobile, FAX, Tel. (Off), and Tel. (Res).

I/we hereby declare that the aforesaid Email ID belongs to Me Spouse Dependent children Dependent Perents Auth Person

I/we hereby declare that the aforesaid mobile number belongs to Me Spouse Dependent children Dependent Perents Auth Person

Income Range (p.a.): Please tick any one : <Rs. 1 Lac 1-5 Lac 5-10 Lac 10-25 Lac > 25 Lacs

Stock portfolio : Please tick any one : Nil < Rs. 5 Lac 5-20 Lac 20-50 Lac > 50 Lacs

Net Worth as on (date) Rs.:

I/We hereby undertake that I/We have completed all the KYC formalities and submitted all the required documents thereof (proof of Identity, Address Proof, Bank Proof, PAN, etc.), at the time of opening the demat and/or trading account originally and enrolling as a client with you.

I/We declare that the information / declaration given above is true and correct to the best of my knowledge and nothing is concealed.

Client's Signature : Date :

Client's Name :

ATTESTATION AND IN PERSON VERIFICATION (IPV) DETAIL / FOR OFFICE USE ONLY

Documents Received Certified Copies (Self Attested) (Original Verified) true Copies of Document's

Form for IPV and C-KYC VERIFICATION CARRIED OUT BY with fields for Date, Name, Emp. ID, AP Code, Designation, Branch.

Form for INSTITUTION DETAILS with Name: KIFS TRADE CAPITAL PRIVATE LIMITED and Code: IN0144 / NDML MIID - P1192

KIFS logo and contact information: CIN NO : U65923GJ2012PTC115683, R.O.: Office Nos. PO6-01A, 01B & 01C, 6th Floor, WTC Tower-A, Block No. 51, Road 5 E, Zone 5, Gift City, Gandhinagar-382355, Gujarat, India.

[Sign of person who has done IPV / Attestation]

Annexure A

Most Important Terms and Conditions (MITC)

(For non-custodial settled trading accounts)

1. Your trading account has a "Unique Client Code" (UCC), different from your demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.
2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
3. The stock broker's Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
6. You will get a contract note from the stock broker within 24 hours of the trade.
7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.
9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.

Client's Name : _____

Client's Signature


